



Principal Life Insurance Company
Des Moines, IA 50306-9394

Rollover Form
Contract/Plan ID Number _____
Location Number _____

Follow these simple steps to combine balances from a previous employer's plan with the current plan. CTD1314

Personal Information (Please print with black ink)

Name (Last) _____ (First) _____ (MI) _____
 Single Male
 Married Female
Address _____ Social Security Number _____

City _____ State _____ ZIP _____ Country _____ Phone Number _____
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Company _____ E-mail address _____

Date of Birth / / Expected Retirement Age _____ Date of Original Employment / /

If you have been rehired, fill in appropriate dates: Date of Termination / / Date of Rehire / /

Note: The email address you submit will be used for all services provided by The Principal®. We will not provide your email to third parties. For more information, see our privacy policy at www.principal.com.

Request Rollover From Prior Financial Institution

- Contact the financial institution that currently holds the retirement funds to request a rollover.
- Instruct them to complete the rollover check as follows:

Checks must be made payable to:
Principal Life Insurance Company
FBO (Your Name)
Contract number of the retirement plan at The Principal®.

Please mail this completed form with your check to:
The Principal Financial Group
P.O. Box 9394
Des Moines, IA 50306-9394

If you have questions or would like assistance in contacting the financial institution to obtain the rollover funds, please call our Client Contact Center at 1-800-547-7754.

1 Rollover Information

The retirement funds rolled over into this plan result from a distribution from a: (please check applicable box(es))

- 401(k) or other Qualified Plan
- Simplified Employee Pension Plan (SEP)
- SIMPLE IRA
- IRA (pre-tax contributions)
- 403(b) Plan
- Governmental 457 Plan

Name of Financial Institution from which funds are transferring _____
Previous Plan/Account Name and Number from which funds are transferring _____

The rollover is assumed to be a pre-tax distribution unless specified below:

Amount of non-Roth After-Tax Contributions (i.e., basis) \$ _____
Amount of Roth 401(k) Contributions (i.e., basis) \$ _____
Amount of Earnings on Roth 401(k) \$ _____
Year 1st Roth 401(k) Contribution was made _____

You may rollover a distribution from an IRA into an eligible retirement plan other than an IRA if part of the rollover is considered to come first from amounts other than after-tax contributions in any of your traditional IRAs and the rollover is from an IRA with after-tax income and the pre-tax amounts in other IRAs are sufficient to cover any after-tax amounts included in the IRA.

An eligible retirement plan for these purposes means:

- Plans Qualified under 401(a)
- 403(a or b) Annuity
- Governmental 457 plan

3 My Signature (Please sign below after you have reviewed this form)

I may ROLL OVER only retirement funds that are allowed under my current employer’s plan. I have verified with my current employer that these retirement funds can be contributed according to retirement plan provisions.

By signing below you declare this information is correct.

My Signature

Date

X _____ / / _____

4 Plan Sponsor Signature (Please sign below after you have reviewed this form)

Based on the information above, this rollover contribution is acceptable according to the plan provisions. Principal Life Insurance Company is directed to accept this rollover contribution and keep the appropriate records and accounts.

Plan Sponsor or Trustee Name

Plan Sponsor or Trustee Signature

Date

X _____ / / _____

5 Submit Rollover Form and Check

You or your current plan sponsor can return your completed form:

Check Enclosed

Financial Institution will forward

Mail to:

Principal Life Insurance Company
P.O. Box 9394
Des Moines, IA 50306-9394

Fax to:

1-866-704-3481

Insurance products and plan administrative services are provided by Principal Life Insurance Company, a member of the Principal Financial Group® (The Principal®), Des Moines, IA 50392.

¹If you elect to contribute funds into the U.S. Property Sep Account, you may not have immediate access to withdraw them at a later time.

We are implementing a pre-existing contractual limitation in your employer's group annuity contract which will allow us to manage the Separate Account and satisfy withdrawal requests over time and fairly among all those who request a withdrawal.

Effective Friday, September 26, 2008, we have applied a built-in contractual limitation which will delay the payment of most withdrawal requests. Those requests will be honored proportionately as sufficient cash becomes available for distribution, in accordance with the terms of your employer's group annuity contract. This limitation applies only to this Separate Account and does NOT apply to other retirement plan separate accounts or Principal Mutual Funds.

**For more information about this investment option, including its full name, please visit The Principal Web site at www.principal.com or call 1-800-547-7754 for assistance from a retirement specialist.*